

Criminal Case Cover Sheet**U.S. District Court - District of Massachusetts**

Place of Offense: Category No. II _____ Investigating Agency FBI _____

City Bedford _____

Related Case Information:

County Middlesex _____

Superseding Ind./ Inf. _____ Case No. _____

Same Defendant _____ New Defendant X _____

Magistrate Judge Case Number 18-MJ-6268-MPK _____

Search Warrant Case Number See add'l information _____

R 20/R 40 from District of _____

Defendant Information:Defendant Name Roger Knox Juvenile: Yes NoIs this person an attorney and/or a member of any state/federal bar: Yes No

Alias Name Rocket _____

Address (City & State) Sallanches, France _____

Birth date (Yr only): 1970 SSN (last4#): N/A Sex M Race: White Nationality: British

Defense Counsel if known: William Connolly, Esq. Address 20 Park Plaza Suite 1000

Bar Number BBO 634501 Boston MA 02116

U.S. Attorney Information:

AUSA Eric S. Rosen Bar Number if applicable NY4412326

Interpreter: Yes No List language and/or dialect: _____Victims: Yes No If yes, are there multiple crime victims under 18 USC§3771(d)(2) Yes NoMatter to be SEALED: Yes No Warrant Requested Regular Process In Custody**Location Status:**

Arrest Date 10/01/2018

 Already in Federal Custody as of 10/03/2018 in Plymouth County Jail . Already in State Custody at _____ Serving Sentence Awaiting Trial On Pretrial Release: Ordered by: _____ on _____Charging Document: Complaint Information IndictmentTotal # of Counts: Petty _____ Misdemeanor _____ Felony 2 _____

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 I hereby certify that the case numbers of any prior proceedings before a Magistrate Judge are accurately set forth above.

Date: 10/23/2018

Signature of AUSA: 

District Court Case Number (To be filled in by deputy clerk): _____

Name of Defendant ROGER KNOX

U.S.C. Citations

Index Key/Code	Description of Offense Charged	Count Numbers
Set 1 <u>15 USC 78j(b), 78ff</u>	Securities Fraud	1 _____
Set 2 <u>18 USC 371</u>	Conspiracy to commit securities fraud	2 _____
Set 3 _____	_____	_____
Set 4 _____	_____	_____
Set 5 _____	_____	_____
Set 6 _____	_____	_____
Set 7 _____	_____	_____
Set 8 _____	_____	_____
Set 9 _____	_____	_____
Set 10 _____	_____	_____
Set 11 _____	_____	_____
Set 12 _____	_____	_____
Set 13 _____	_____	_____
Set 14 _____	_____	_____
Set 15 _____	_____	_____
ADDITIONAL INFORMATION:	<u>17-6344-MPK, 17-6345-MPK, 18-6009-MPK, 18-7228-JCB, 18-7229-JCB</u>	_____